Wealth Management Services

Advanced Planning

$325/hour for lead CFP® Professionals

$250/hour for CFP® Professionals and CPA Professionals

$175/hour for administrative work by professional team member(s) without professional designations

Investment Management

Assets Under Management $	Annual Fee %
$250,001 to $750,000	1.00%
$750,001 to $1,250,000	0.95%
$1,250,001 to $3,000,000	0.90%
$3,000,001 to $5,000,000	0.80%
$5,000,001 to $7,500,000	0.70%
$7,500,001 to $10,000,000	0.60%
$10,000,001 to $12,500,000	0.50%
$12,500,001 to $15,000,000	0.45%
$15,000,001 to $20,000,000	0.40%
$20,000,001 plus	Custom[1]

Investment Management continued

Investment advisory fees are paid quarterly in advance pursuant to the terms of the investment advisory agreement. Investment advisory fees are based on the market value of assets under management at the end of the prior quarter. Investment advisory fees are based on the investment-management schedule above.

Investment Management includes custom portfolio design, online access to view your investments through Account View, optional access to comprehensive data aggregation through Wealth Vision, and traditional statements (that LPL Financial delivers to you the way you prefer). Investment management includes up to two meetings per year to visit about your investments and ongoing access to our team for service and support.

Using Wealth Vision for planning and analysis is billed as part of our Advanced Planning hourly rates.

Tax Preparation[2] is an added offering for our Investment-Management clients.

Return type	**From**
Personal return ("1040")	$300
1040 with Schedule C/E/F, or other	$450
709 Gift	$450
Partnership	$550
LLC	$550
LLC with S-Election	$650
S-Corp	$650
5500 Safe Harbor	$750

Tax preparation fees are based upon our hourly rate and are quoted in advance if, and when, we anticipate the total may be more than the starting amount listed above.

Please see our Form ADV Part 2A – Disclosure Brochure for complete details.

[1] We customize your fee based on the complexity of your portfolio. Our firm will negotiate a fee percentage, or fixed flat fee, that fits your preferences and your situation best.

[2] Tax preparation is offered through The Perspective Group, LLC, a separate business and it is not associated with LPL Financial. Fees may be higher and are proportionate to the complexity of the return, completeness and organization of the records provided to our firm, timeliness of record delivery, and the filing and packaging expenses incurred by The Perspective Group, LLC. An estimate of the total tax preparation fee will be provided in advance of the work if and when we believe it may be more than our starting amount.

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Professionals associated with The Perspective Group may be either (1) registered representatives with, and securities offered through LPL Financial, Member FINRA/SIPC, and offer advisory services through The Perspective Group, a registered investment advisor; or (2) solely tax professionals of The Perspective Group, and not affiliated with LPL Financial. Tax related services offered through The Perspective Group, a separate legal entity, and not affiliated with LPL Financial. LPL Financial does not offer tax advice or Tax related services.

Securities offered through LPL Financial, Member FINRA/SIPC. Advisory services offered through The Perspective Group LLC, a registered investment advisor and separate entity from LPL Financial.

LPL 1-05308253